

Electronic Acknowledgement Receipt

EFS ID:	7643402
Application Number:	10553078
International Application Number:	
Confirmation Number:	9104
Title of Invention:	THERAPEUTIC CANCER VACCINE
First Named Inventor/Applicant Name:	Per Thor Straten
Customer Number:	36257
Filer:	Dale C. Hunt/Heather Armstrong
Filer Authorized By:	Dale C. Hunt
Attorney Docket Number:	0088562-001US0
Receipt Date:	19-MAY-2010
Filing Date:	23-JUN-2006
Time Stamp:	14:37:03
Application Type:	U.S. National Stage under 35 USC 371

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 130
RAM confirmation Number	847
Deposit Account	040258
Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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1	Petition for review by the Office of Petitions.	2010-05-19-Request_Correct_Assignee_Name-0088562-001US0.pdf	339640 0f8683da91e77911b05563fcb8933e05acd8514c3	no	3
Warnings:					
Information:					
2	Miscellaneous Incoming Letter	2010-05-19-Misc_document_re_correct-assignee-0088562-001US0.pdf	1078259 a4dabe076a722aa230a7282e958ec714a925fc9d	no	9
Warnings:					
Information:					
3	Fee Worksheet (PTO-875)	fee-info.pdf	30005 f8c1e77acb4893e2e49bb4f85e1d6e661753d054e	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			1447904		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: STRATEN, *et al.*
Assignee: Survac ApS
Title: THERAPEUTIC CANCER VACCINE
Patent No.: 7,687,465 Issue Date: March 30, 2010
Application No.: 10/553,078 Filing Date: June 23, 2006
Examiner: Christopher H. Yaen Group Art Unit: 1643
Docket No.: 0088562-001US0 Conf. No.: 9104

Mail Stop **PETITIONS**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST TO CORRECT THE ASSIGNEE NAME UNDER 37 C.F.R. § 3.81(b)

Sir:

In response to a Notice dated May 6, 2010 from the Petitions Branch at the U.S. Patent and Trademark Office in connection with the above-identified application and patent issued herefrom ("Notice"), Applicants respectfully submit this Request to Correct the Assignee Name under 37 C.F.R. § 3.81(b). A copy of the Notice is enclosed herewith.

Applicants submit the following documents as support:

- i) a copy of the Issue Fee Transmittal (PTOL-85B form) as filed on August 25, 2009;
- ii) a copy of the Notice of Recordation of Assignment Document for the assignment from Per Thor STRATEN and Mads Hald ANDERSEN to Kraeftens Bekaempelse, with a copy of the assignment document; and

iii) a copy of the Notice of Recordation of Assignment Document for the assignment from Kraeftens Bekaempelse (*i.e.*, "Bekaempelse, Kraeftens") to Survac ApS, with a copy of the assignment document.

In the Issue Fee Transmittal (PTOL-85B form) filed on August 25, 2009, the name of the Assignee was incorrectly listed as "Kraeftens Bekaempelse."

Applicants respectfully submit that the failure to include the correct Assignee name was inadvertent. Survac ApS should be the correct Assignee, as shown in the Notices of Recordation of Assignment Document enclosed herewith: the assignment from Per Thor STRATEN and Mads Hald ANDERSEN to Kraeftens Bekaempelse was recorded on July 29, 2008 on Reel 021310, Frame 0286 and the assignment from Kraeftens Bekaempelse (*i.e.*, "Bekaempelse, Kraeftens") to Survac ApS was recorded on July 29, 2008 on Reel 021310, Frame 0270.

The enclosed assignment documents from Per Thor STRATEN and Mads Hald ANDERSEN to Kraeftens Bekaempelse and from Kraeftens Bekaempelse to Survac ApS further support that Survac ApS is the correct Assignee. Thus, the correction requested constitutes no change of Assignee.

The enclosed Notices of Recordation of Assignment Document also demonstrate that the assignment documents were submitted for recordation as set forth in 37 C.F.R. § 3.11 before the issuance of the patent on March 30, 2010.

Pursuant to 37 C.F.R. § 1.17(i), a processing fee of \$130 is required for submitting this request. Accordingly, please charge the amount to Deposit Account No. 04-0258, referencing Attorney Docket No. 0088562-001US0. In addition, please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 04-0258.

As such, all requirements as set forth in 37 C.F.R. § 3.81(b) are met, and the Request should be granted

Attorney Docket No.: 0088562-001US0
Patent No.: 7,687,465 issued from Application No. 10/553,078
FILED VIA EFS

Further, pursuant to the requirements set forth in 37 C.F.R. § 3.81(b), a Request for a Certificate of Correction under 37 C.F.R. § 1.323, accompanied by the fee set forth in 37 C.F.R. § 1.20(a), is also submitted concurrently herewith.

As suggested in the Notice, Applicants further request that a copy of the instant Request be forwarded to the Certificate of Correction Branch of the Patent Issue Division, for the issuance of a Certificate of Correction, if the Request is granted.

Please direct all inquiries concerning this request to the undersigned attorney at (415) 276 6500.

Respectfully submitted,

DAVIS WRIGHT TREMAINE LLP

Date: May 19, 2010

By: /Stephanie X. Wang/

Stephanie X. Wang
Attorney of Record
Limited recognition No. L0547
Granted limited recognition to practice in
patent cases pursuant to 37 C.F.R § 11.9(b)

505 Montgomery Street, Suite 800
San Francisco, CA 94111-6533
Phone: (213) 633-8670
Facsimile: (415) 276-6599

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail Stop ISSUE FEE**
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
 or Fax **(571)-273-2885**

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

36257

7590

06/02/2009

DAVIS WRIGHT TREMAINE LLP - San Francisco
 505 MONTGOMERY STREET
 SUITE 800
 SAN FRANCISCO, CA 94111

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Submitted Via EFS-Web

(Depositor's name)

(Signature)

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/553,078	06/23/2006	Per Thor Straten	0088562-601US0	9104

TITLE OF INVENTION: THERAPEUTIC CANCER VACCINE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0.	\$1055	09/02/2009

EXAMINER	ART UNIT	CLASS-SUBCLASS
YAEN, CHRISTOPHER H	1643	424-185100

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/17; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 _____

2 Davis Wright Tremaine LLP

3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Kraeftens Bekaempelse

Denmark

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

☒ Issue Fee

☒ Publication Fee (No small entity discount permitted)

☐ Advance Order - # of Copies _____

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

☐ A check is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 04-0258 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☒ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Karen Lai

Date

August 25, 2009

Typed or printed name

Karen Lai

Registration No.

60,920

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TO: SONNENSCHN NATH & ROSENTHAL LLP COMPANY: POST OFFICE BOX 061080

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

500606466A

JULY 30, 2008

PTAS

SONNENSCHN NATH & ROSENTHAL LLP
POST OFFICE BOX 061080
WACKER DRIVE STATION, SEARS TOWER
CHICAGO, IL 60606UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/29/2008

REEL/FRAME: 021310/0286

NUMBER OF PAGES: 5

BRIEF: CORRECTIVE ASSIGNMENT TO CORRECT THE APPLICATION NUMBER. ORIGINAL COVERSHEET LISTED APPLICATION NUMBER AS "10/533078". CORRECT APPLICATION NUMBER IS "10/553078" PREVIOUSLY RECORDED ON REEL 020883 FRAME 0860. ASSIGNOR(S) HEREBY CONFIRMS THE ASSIGNMENT.

DOCKET NUMBER: 40000243-0001-002

ASSIGNOR:

STRATEN, PER THOR

DOC DATE: 08/05/2003

ASSIGNOR:

ANDERSEN, MADH HALD

DOC DATE: 08/05/2003

ASSIGNEE:

KRAEFTENS BEKAEMPELSE
STRANDBOULEVARDEN 49
COPENHAGEN, DENMARK DK-2100

P.O. Box 1450, Alexandria, Virginia 22313-1450 - www.USPTO.gov

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7/31/2008 6:22:45 PM PAGE 2/005 Fax Server

TO: SONNENSCHN NATH & ROSENTHAL LLP COMPANY: POST OFFICE BOX 061080

021310/0286 PAGE 2

SERIAL NUMBER: 10553078

FILING DATE: 06/23/2006

PATENT NUMBER:

ISSUE DATE:

TITLE: THERAPEUTIC CANCER VACCINE

LAZENA MARTIN, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

P734 U500

Attorney Docket No. _____
Joint Invention
(U.S. Rights only)

ASSIGNMENT

WHEREAS we, the below named inventors, (hereinafter referred to as Assignors) have made an invention entitled:

"Therapeutic Cancer Vaccine"

and

WHEREAS, Kræftens Bekæmpelse a Danish corporation, registered under the laws of the Kingdom of Denmark, and whose business address is: Strandboulevarden 49, DK-2100 Copenhagen, Denmark; (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from assignee is hereby acknowledged, we, as assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, our entire right, title and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patents of the United States which may be granted thereon, and all reissues thereof, including an assignment of all rights under the provision of international conventions; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patents for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;

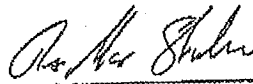
AND, WE HEREBY further covenant and agree that we will, without further consideration, communicate with assignee, its successors and assigns, any facts known to us respecting this invention and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to this invention to assignee, its successors and assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

AND, WE HEREBY authorize and request the attorneys BROWDY and NEIMARK, 624 9th Street NorthWest, Suite 300, Washington DC 20001-5303, U.S.A., to insert here in parentheses (Application No. _____ filed on _____), the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, We have hereunto set our hands:

1. Full Name of First Assignor:


Per Thor Straten, a citizen of Denmark, residing at Catherine Booths Vej 17, DK-2650 Hvidovre, Denmark

Signature: 
Per Thor Straten

Date: 8/5 - 2003

2. Full Name of Second Assignor:

Mads Hald Andersen, a citizen of Denmark, residing at Esthersvej 27, st.tv., DK-2900 Hellerup, Denmark

Signature: 
Mads Hald Andersen

Date: 8/5-03

TO: SONNENSCHN NATH & ROSENTHAL LLP COMPANY: POST OFFICE BOX 061080



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JULY 30, 2008

PTAS

SONNENSCHN NATH & ROSENTHAL LLP
POST OFFICE BOX 061080
WACKER DRIVE STATION, SEARS TOWER
CHICAGO, IL 60606UNITED STATES PATENT AND TRADEMARK OFFICE
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MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/29/2008

REEL/FRAME: 021310/0270

NUMBER OF PAGES: 7

BRIEF: CORRECTIVE ASSIGNMENT TO CORRECT THE APPLICATION NUMBER.
ORIGINAL COVERSHEET LISTED APPLICATION NUMBER AS "10/533078".
CORRECT APPLICATION NUMBER IS "10/553078" PREVIOUSLY RECORDED ON
REEL 020883 FRAME 0846. ASSIGNOR(S) HEREBY CONFIRMS THE
ASSIGNMENT..

DOCKET NUMBER: 40000243-0001-002

ASSIGNOR:

BEKAEMPELSE, KRAEFTENS

DOC DATE: 09/20/2005

ASSIGNEE:

SURVAC APS
ETHERSVEJ 27, ST. TV.
2900 HELLERUP, DENMARK

SERIAL NUMBER: 10553078

FILING DATE: 06/23/2006

PATENT NUMBER:

ISSUE DATE:

TITLE: THERAPEUTIC CANCER VACCINE

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TO: SONNENSCHN NATH & ROSENTHAL LLP COMPANY: POST OFFICE BOX 061080

021310/0270 PAGE 2

SHARON LATIMER, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

P734PC00

ASSIGNMENT

We, the assignors

Kræftens Bekæmpelse
Strandboulevarden 49
2100 Copenhagen Ø
Denmark

hereby declare that we assign all rights concerning PCT-application

No. PCT/DK2004/000259

including the right to apply for and obtain national/regional patents based on this
International patent application,

Title: "Therapeutic Cancer Vaccine"

to the assignee:


Survac ApS
Esthersvej 27, st. tv.
2900 Hellerup
Denmark

Survac ApS will hereinafter be fully liable for any costs and obligations concerning
this patent application.

Place and date:

Signature:

Copenhagen, September 20, 2005


Kræftens Bekæmpelse

P 734DK00

OVERDRAGELSE

VI

Kræftens Bekæmpelse
Strandboulevarden 49
DK-2100 København Ø
Danmark

erklærer herved, at vi overdrager alle rettigheder, herunder retten til at kræve prioritet,
vedrørende
dansk patentansøgning nr. PA 2003 00572, indleveret den 11. april 2003

Med titlen: "Therapeutic Cancer Vaccine"

III

Survac ApS
Esthersvej 27, st. tv.
2900 Hellerup
Danmark

Survac ApS påtager sig herefter alle omkostninger og forpligtelser relateret til nævnte
patentansøgning.

Sted og dato:

København, den 20. september 2005

Underskrift:


Kræftens Bekæmpelse